

**BSE Limited**

First Floor, New Trading Ring
Rotunda Building, P J Towers
Dalal Street, Fort
Mumbai 400 001

Kind Attn: Mr Khushro A. Bulsara
General Manager and Head - Listing
Compliance and Legal Regulatory

National Stock Exchange of India Ltd.

Exchange Plaza, 5th Floor
Plot No.C/1, G Block
Bandra-Kurla Complex
Bandra (East), Mumbai 400 051

Kind Attn: Mr Lokesh Bhandari
Senior Manager -
Listing & Compliance

October 29, 2021
Sc - 16549

Dear Sir,

Re.: Reporting under SEBI (Prohibition of Insider Trading) Regulations, 2015

In terms of SEBI (Prohibition of Insider Trading) Regulations, 2015 ('the SEBI PIT Regulations'), the Company's Code of Conduct for Prevention of Insider Trading and the Code of Corporate Disclosure Practices ('the Code') and SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated 23rd July 2020, the details of transgressions of the Company's Code by a Designated Person along with the action taken by the Company thereon, is enclosed herein as **Annexure A**.

You are requested to kindly take note of the same.

Yours faithfully,
Tata Motors Limited

Maloy Kumar Gupta
Company Secretary

Encl.: As above

TATA MOTORS LIMITED

Bombay House 24 Homi Mody Street Mumbai 400 001

Tel 91 22 6665 8282 Fax 91 22 6665 7799

www.tatamotors.com CIN L28920MH1945PLC004520



Annexure A

Report by Tata Motors Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details																																
1	Name of the listed company	Tata Motors Limited																																
2	Please tick appropriate checkbox Reporting in capacity of : <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	<input checked="" type="checkbox"/> Listed Company																																
3	A. Details of Designated Person (DP)																																	
	i. Name of the DP	Sushant Naik																																
	ii. PAN of the DP	AABPN8711E																																
	iii. Designation of DP	Vice President, Government & Public Affairs																																
	iv. Functional Role of DP	Vice President, Government & Public Affairs																																
	v. Whether DP is Promoter or belongs to Promoter Group	No																																
	B. If Reporting is for immediate relative of DP																																	
	i. Name of the immediate relative of DP	NA																																
	ii. PAN of the immediate relative of DP	NA																																
	C. Details of transaction(s)																																	
	i. Name of the scrip	Derivatives																																
	ii. No. of shares traded and value (Rs.) (Date- wise)	<p>Trade Entry – 10 Dec 2020</p> <table border="1"> <thead> <tr> <th>Date of Purchase</th> <th>Quantity</th> <th>Price per Share (₹)</th> <th>Total (₹)</th> </tr> </thead> <tbody> <tr> <td>10-Dec-20</td> <td>11,400 Futures</td> <td>178.25</td> <td>20,32,050</td> </tr> <tr> <th>Date of Sale</th> <th>Quantity</th> <th>Price per Share (₹)</th> <th>Total (₹)</th> </tr> <tr> <td>10-Dec-20</td> <td>11,400 Call Option</td> <td>9.00</td> <td>21,54,600</td> </tr> </tbody> </table> <p><i>Since the trade was unintentional / unauthorized, the same was reversed immediately on the next day.</i></p> <p>Trade Exit – 11 Dec 2020</p> <table border="1"> <thead> <tr> <th>Date of Sale</th> <th>Quantity</th> <th>Price per Share (₹)</th> <th>Total (₹)</th> </tr> </thead> <tbody> <tr> <td>11-Dec-20</td> <td>11,400 Futures</td> <td>177.50</td> <td>20,23,500</td> </tr> <tr> <th>Date of Purchase</th> <th>Quantity</th> <th>Price per Share (₹)</th> <th>Total (₹)</th> </tr> <tr> <td>11-Dec-20</td> <td>11,400 Call Option</td> <td>8.50</td> <td>21,48,900</td> </tr> </tbody> </table> <p><i>Tata Motors Futures Gross Loss ₹8,550/- Tata Motors Call Option Gross Profit ₹5,700/-</i></p> <p><i>In aggregate, the above trade entry and exit incurred an overall gross loss of ₹2,850/-</i></p>	Date of Purchase	Quantity	Price per Share (₹)	Total (₹)	10-Dec-20	11,400 Futures	178.25	20,32,050	Date of Sale	Quantity	Price per Share (₹)	Total (₹)	10-Dec-20	11,400 Call Option	9.00	21,54,600	Date of Sale	Quantity	Price per Share (₹)	Total (₹)	11-Dec-20	11,400 Futures	177.50	20,23,500	Date of Purchase	Quantity	Price per Share (₹)	Total (₹)	11-Dec-20	11,400 Call Option	8.50	21,48,900
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Sr. No.	Particulars	Details												
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter													
	i. Date of intimation of trade(s) by concerned DP / director / promoter / promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	Not applicable since the transactions were carried out by the DPs Portfolio Manager without his knowledge/ permission.												
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	Not applicable since the Company has not received intimation of trade from the DP under Regulation 7(2) of SEBI (PIT) Regulations, 2015.												
4	Details of violations observed under Code of Conduct	Non-adherence to the Code relating to trading approval and disclosure.												
5	Action taken by Listed company	The Company has penalized the DP as per its internal policy.												
6	Reasons recorded in writing for taking action stated above	Action taken against the DP as the trade done, even if unintentional, was in contravention of the Company's Code.												
7	Details of the previous instances of violations, if any, since last financial year	NIL												
8	If any amount collected for Code of Conduct violation(s):													
	i. Mode of transfer to SEBI - IPEF (Online / Demand Draft)	Online												
	ii. Details of transfer / payment In case of Online:													
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9	Any other relevant information: Nil													

Yours faithfully,
For **Tata Motors Limited**

Pathamadai Balachandran Balaji
Group CFO & Compliance Officer –
Insider Trading
PAN: AAEPB3486B
Email ID: pb.balaji@tatamotors.com

Date: October 29, 2021
Place: Mumbai

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